

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wilson et al. Examiner: Unknown
Serial No.: 10/037,012 Group Art Unit: Unknown
Filed: December 31, 2001 Docket: H0001568(13358.4US01)
Due Date: March 31, 2002
Title: VERTICAL CAVITY SURFACE EMITTING LASER ARRAY FOR VELOCITY MEASUREMENT

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on March 4, 2002.

By:

Victoria Hanson

MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notice to File Missing Parts of NonProvisional Application - Part 2
- ☒ Signed Declaration for Utility or Design Patent Application (37 CFR 1.63)
- ☒ Other: Fee Transmittal for FY 2002; Communication
- ☒ Return postcard (2)

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By:

Name: Anna M. Nelson
Reg. No.: 48,935
AMN/vh



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------------|
| 10/037,012 | 12/31/2001 | Mark L. Wilson | H0001568 (M&G) 13358.4US0 |

23552
MERCHANT & GOULD PC.
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903

CONFIRMATION NO. 5682

FORMALITIES LETTER



OC000000007404877

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

T. Agnew

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

18/2002 BNGUYEN1 00000032 011125 10037012

FC:105 130.00 CH

This form is a Replica of PTO/SB/17 (1/01)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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MAR 13 2002 FEE TRANSMITTAL
For FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT \$130

COMPLETE IF KNOWN

| | |
|----------------------|--------------------------|
| Application No. | 10/037,012 |
| Filing Date | 12/31/01 |
| First Named Inventor | Wilson |
| Examiner Name | Unknown |
| Group Art Unit | Unknown |
| Attorney Docket No. | H0001568(M&G 13358.4US01 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other☒ Deposit AccountDeposit
Account
Number

01-1125

Deposit
Account
Name

Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|--------|--------------|--------|------------------------|----------|
| Fee Code | Fee \$ | Fee Code | Fee \$ | | |
| 101 | 740 | 201 | 370 | Utility Filing Fee | |
| 105 | 390 | 206 | 165 | Design Filing Fee | |
| 107 | 510 | 207 | 255 | Plant Filing Fee | |
| 108 | 740 | 208 | 370 | Reissue Filing Fee | |
| 114 | 180 | 214 | 80 | Provisional Filing Fee | |
| SUBTOTAL (1) | | | | | \$ - 0 - |

2. EXTRA CLAIM FEES

| | | | | | | | |
|---------------------------|----|--------------|---|-----------------|-------|----------|------|
| Total Claims | 20 | Extra Claims | 0 | Fee from before | 18.00 | Fee Paid | 0.00 |
| Independent Claims | 3 | | 0 | | 84.00 | | 0.00 |
| Multiple Dependent Claims | | | 0 | | 20.00 | | 0.00 |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|--------|--------------|--------|---|----------|
| Fee Code | Fee \$ | Fee Code | Fee \$ | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | |
| 104 | 280 | 204 | 140 | Multiple dependent claim | |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | \$ - 0 - |

**number previously paid, if greater, see above for Reissues

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|--------|--------------|--------|--|----------|
| Fee Code | Fee \$ | Fee Code | Fee \$ | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,250 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 820* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 820 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,980 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(a) | |
| 128 | 180 | 128 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 185 | 300 | 195 | 300 | Publication fee | |

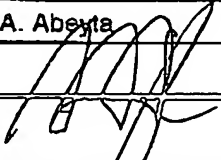
Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$130

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|---------------------|---|-----------------------------------|---------|-----------|--------------|
| Name (Print / Type) | Andrew A. Abeyta | Registration No. (Attorney/Agent) | 39,582 | Telephone | 602-313-3345 |
| Signature |  | Date | 2/28/02 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.

S/N 10/037,012

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-------------|--|-----------------|-----------------|
| Applicant: | Wilson et al. | Examiner: | Unknown |
| Serial No.: | 10/037,012 | Group Art Unit: | Unknown |
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By: Victoria Hanson
Name: Victoria Hanson

COMMUNICATION

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please send all future communication in the above-referenced patent application to:
Andrew Abeyta, Honeywell International Inc., 101 Columbia Road, POB 2245, Morristown,
New Jersey 07962.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
612/332-5300

Date:

March 4, 2002

Anna M. Nelson
Anna M. Nelson
Reg. No. 48,935
AMN